

ORDINANCE 2022-09-01-0643

AUTHORIZING THE EXECUTION OF AN INTERLOCAL AGREEMENT WITH THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO TO PROVIDE ORAL SURGICAL SERVICES FOR HEAD START ENROLLEES REFERRED BY THE SAN ANTONIO METROPOLITAN HEALTH DISTRICT, IN AN AMOUNT UP TO \$28,000.00 FOR A TERM ENDING SEPTEMBER 30, 2023, WITH THE OPTION TO RENEW FOR THREE, ONE-YEAR TERMS, FOR A CUMULATIVE AMOUNT UP TO \$112,000.00, INCLUSIVE OF RENEWALS.

* * * * *

WHEREAS, on an annual basis, the San Antonio Metropolitan Health District's (Metro Health) Oral Health program provides diagnostic and preventive care to more than 22,000 children living in poverty by providing services in non-traditional settings such as Head Start, Early Head Start and on campuses of local Title I elementary schools; and

WHEREAS, through these programs, many children are identified with additional dental treatment needs beyond the scope of Metro Health services; and

WHEREAS, case management services are provided by Metro Health dental staff to ensure participating children receive necessary treatment and to connect families to a source of ongoing care in the community; and

WHEREAS, approximately 15% of children enrolled in the City's Head Start program are not covered through Texas Medicaid or the Children's Health Insurance Plan (CHIP); and

WHEREAS, during the 2021–2022 school year more than 1,000 children were identified with untreated decay; and

WHEREAS, historically, Metro Health has contracted with the University of Texas Health Science Center at San Antonio School of Dentistry as a cost-effective means of providing access to high quality dental care for children throughout the community who are uninsured or underinsured and identified through City outreach programs that have extensive dental needs beyond the scope of services offered by Metro Health; **NOW THEREFORE:**

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF SAN ANTONIO:

SECTION 1. The City Manager or designee, or the Director of the San Antonio Metropolitan Health District or designee, is authorized to execute an interlocal agreement with the University of Texas Health Science Center at San Antonio to provide oral surgical services for Head Start enrollees referred by the San Antonio Metropolitan Health District, in an amount up to \$28,000.00 for a term ending September 30, 2023, with the option to renew for three, one-year terms, for a cumulative amount up to \$112,000.00, inclusive of renewals. A copy of the agreement in substantially final form is attached hereto and incorporated for all purposes as **Attachment I**.

SECTION 2. Funding in the amount of \$28,000.00 for this ordinance is available in Fund 11001000, Cost Center 3618010004 and General Ledger 5201030 is part contingent upon City Council approval of the Fiscal Year 2023 budget.

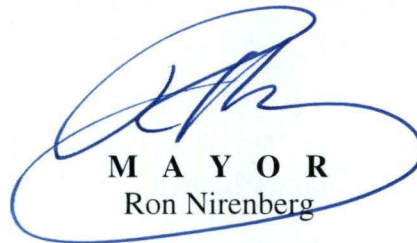
SECTION 3. Additional funding is contingent upon City Council approval of the Fiscal Year 2024 and subsequent budgets that fall within the contract terms of this ordinance.

SECTION 4. Payment is authorized to University of Texas Health Science Center at San Antonio (UTHSCSA) and should be encumbered with a purchase order.

SECTION 5. The financial allocations in this Ordinance are subject to approval by the Deputy Chief Financial Officer, City of San Antonio. The Deputy Chief Financial Officer may, subject to concurrence by the City Manager or the City Manager's designee, correct allocations to specific Cost Centers, WBS Elements, Internal Orders, General Ledger Accounts, and Fund Numbers as necessary to carry out the purpose of this Ordinance.

SECTION 6. This Ordinance is effective immediately upon the receipt of eight affirmative votes, otherwise, it is effective ten days after passage.

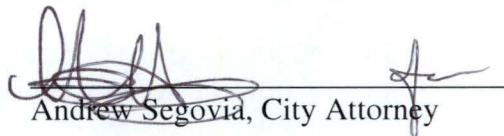
PASSED and APPROVED this 1st day of September 2022.


M A Y O R
Ron Nirenberg

ATTEST:


Debbie Racca Sittre, City Clerk

APPROVED AS TO FORM:


Andrew Segovia, City Attorney



City of San Antonio

City Council Meeting September 1, 2022

12.

2022-09-01-0643

Ordinance approving an Interlocal Agreement with the University of Texas Health Science Center at San Antonio to provide oral surgical services for Head Start enrollees referred by the San Antonio Metropolitan Health District, in an amount up to \$28,000 for a term ending September 30, 2023, with the option to renew for three, one-year terms, for a cumulative amount up to \$112,000, inclusive of renewals. Funding for the agreement is from the FY 2023 General Fund contingent on approval by City Council. Funding for the renewal terms is contingent on future budget appropriations. [Erik Walsh, City Manager; Claude A. Jacob, Director, Health]

Councilmember Sandoval moved to Approve on the Consent Agenda. Councilmember Cabello Havrda seconded the motion. The motion carried by the following vote:

Aye: Nirenberg, Bravo, McKee-Rodriguez, Viagran, Rocha Garcia, Castillo,
Cabello Havrda, Sandoval, Courage, Perry

Absent: Pelaez

Attachment I

**INTERLOCAL AGREEMENT
BETWEEN
THE CITY OF SAN ANTONIO AND THE UNIVERSITY OF TEXAS HEALTH SCIENCE
CENTER AT SAN ANTONIO**

THIS INTERLOCAL AGREEMENT (the Agreement) is made and entered into by and between the CITY OF SAN ANTONIO ("CITY"), a Texas Home Rule Municipality, on behalf of the San Antonio Metropolitan Health District ("Metro Health") acting by and through the City Manager or designee pursuant to Ordinance No. _____ and THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO ("UTHSCSA"), on behalf of its School of Dentistry. CITY and UTHSCSA shall collectively be referred to as "the Parties."

WITNESSETH

WHEREAS, to increase efficiency and effectiveness, Texas Government Code, Chapter 791 authorizes local governments to contract to the greatest extent possible with one another and with agencies of the state; and

WHEREAS, Texas Government Code, section 791.011 provides that a local government may contract with another to perform governmental functions and services, and the definition of "governmental function and services" under Section 791.003(3) includes the areas of public health and welfare; and

WHEREAS, the City's Oral Health Program within Metro Health provides examination, diagnosis and treatment for pediatric patients; and

WHEREAS, CITY, through its Department of Health and Human Services, receives grants from the U.S. Department of Health and Human Services (HHS) to operate the Head Start (HSpK), Early Head Start (EHS), and Early Head Start-Child Care Partnership (EHS-CCP) programs (collectively, the "City of San Antonio Head Start Program" or "Program"), serving 3,364 children and families in the San Antonio and Edgewood Independent School District areas; and

WHEREAS, Metro Health examines children enrolled in the City's Head Start and Miles of Smiles programs as part of its duties and responsibilities to the Program and refers children to UTHSCSA School of Dentistry's Department of Developmental Dentistry for additional diagnostic, preventive and restorative care; and

WHEREAS, upon completion of a comprehensive dental examination, participating children that require treatment under general anesthesia may be referred to UTHSCSA School of Dentistry's Department of Oral and Maxillofacial Surgery Facility; and

NOW THEREFORE, this Agreement defines the terms between the City and UTHSCSA for the provision of comprehensive oral treatment services by UTHSCSA for dental cases; and

**I.
PURPOSE/DEFINITIONS**

1.1 The purpose of this Interlocal Agreement is to establish the terms and conditions under which

UTHSCSA will provide CITY with certain specified public health services for dental services as part of the Metro Health's Oral Health Program. This Agreement shall also establish the CITY's and UTHSCSA's obligations, costs, and the manner and method of payment for provided services.

1.2 As used in this Agreement, the following terms shall have meanings as set out below:

"City" is defined in the preamble of this Agreement and includes its successors and assigns.

"UTHSCSA" is defined in the preamble of this Agreement and includes its successors.

"Director" shall mean the director of City's San Antonio Metropolitan Health District.

"Project or Program" shall mean the general scope of services of this Agreement.

"United States Department of Health and Human Services (HHS) Head Start Program" shall mean the United States Department of Health and Human Services Head Start Program (Head Start Program) which provides some of the funding source for this Agreement.

II. TERM

2.1 Unless sooner terminated in accordance with the provisions of this Agreement, the term of this Agreement shall commence on October 1, 2022, and shall terminate on September 30, 2023. This Agreement may be renewed by mutual consent of the Parties for up to three (3), one (1) year terms (a "Renewal Term"). Any renewals shall be in writing and signed by the Parties. The Director of the San Antonio Metropolitan Health District shall have the authority to execute renewals on behalf of the City without further City Council action, subject to appropriation of funds therefore.

2.2 If funding for the entire Agreement is not appropriated at the time this Agreement is entered into, City retains the right to terminate this Agreement at the expiration of each of City's budget periods, and any additional contract period beyond the initial term set forth in 2.1 is subject to and contingent upon subsequent appropriation.

2.3 It is expressly understood and agreed by the City and UTHSCSA that City's obligations under this Agreement are contingent upon the actual receipt of adequate funds to meet the City's liability hereunder. Lack of funding is not and shall not be considered a breach of this Agreement. If City does not receive adequate funds to pay obligations under this Agreement, then this Agreement shall terminate and neither UTHSCSA nor City shall have any further obligations hereunder.

III. SCOPE OF SERVICES

UTHSCSA agrees to provide the services described in this Article. III entitled Scope of Services in exchange for the compensation described in Article. IV Compensation.

City Obligations:

3.1 Metro Health will examine children enrolled in the City's Head Start and Miles of Smiles programs as part of its duties and responsibilities to the program and will refer children to UTHSCSA School of Dentistry's Department of Developmental Dentistry for additional diagnostic, preventive and restorative care. Upon completion of a comprehensive dental examination, participating children that

require treatment under general anesthesia may be referred for care through the UTHSCSA School of Dentistry's Department of Oral and Maxillofacial Surgery Facility.

UTHSCSA obligations:

3.2 UTHSCSA agrees to provide the services described in this Article. III entitled Scope of Services in exchange for the compensation described in Article. IV Compensation.

3.3 Upon referral, the UTHSCSA School of Dentistry's Department of Oral and Maxillofacial Surgery shall provide surgical facilities and anesthesiology services for pediatric patients identified through Metro Health oral health outreach programs. UTHSCSA will:

3.3.1 Coordinate with the UTHSCSA School of Dentistry's Department of Developmental Dentistry in scheduling of children referred for care no later than 30 days after referral;

3.3.2 Coordinate with the UTHSCSA School of Medicine's, Department of Anesthesiology to provide dental treatment under general sedation, as needed, for children referred through the program;

3.3.3 The UTHSCSA School of Dentistry's Department of Oral and Maxillofacial Surgery will provide appropriate facilities and anesthesiology services required to support delivery of dental services for patients referred by Metro Health; and

3.3.4 The UTHSCSA School of Dentistry's Department of Oral and Maxillofacial Surgery will provide all supplies, medications and materials (excluding dental supplies and materials) required to support the delivery of dental services for children referred by Metro Health.

3.4 UTHSCSA and the Director or designee shall engage in an annual quality assurance evaluation for the purpose of: 1) maintaining the highest standards of clinical care, appropriate for the public health program; 2) ensuring compliance with clinical licensure regulations; 3) other matters of interest raised by UTHSCSA or the Director.

3.5 All work performed by UTHSCSA hereunder shall be performed to the satisfaction of Director. The determination made by Director shall be final, binding and conclusive on all Parties hereto. City shall be under no obligation to pay for any work performed by UTHSCSA, which is not satisfactory to Director. City shall have the right to terminate this Agreement, in accordance with Article. VII Termination, in whole or in part, should UTHSCSA's work not be satisfactory to Director; however, City shall have no obligation to terminate and may withhold payment for any unsatisfactory work, as stated herein, even should City elect not to terminate.

IV. COMPENSATION TO UTHSCSA

4.1 In consideration of UTHSCSA's performance in a satisfactory and efficient manner, as determined solely by Director, of all services, activities, duties and responsibilities set forth in this Agreement, City agrees to pay UTHSCSA as set out below:

4.1.1 UTHSCSA School of Dentistry's Department of Oral and Maxillofacial Surgery will invoice Metro Health \$4,000.00 per completed case;

4.1.2 The maximum amount to be paid by CITY and the cumulative total of all invoices shall not exceed the amount of \$28,000.00 (TWENTY EIGHT THOUSAND AND NO/100TH DOLLARS).

4.2 Invoices shall be submitted to: City of San Antonio Metropolitan Health District, Dental Health Services at 100 W. Houston, 14th floor, San Antonio, Texas 78205.

4.3 City shall pay all approved invoices from UTHSCSA within 30 days of submission to the City. The total payments hereunder shall not exceed the amount set forth in Section 4.1 above, without prior approval and agreement of all parties, evidenced in writing. Reimbursement of eligible expenses, as determined by the City, will be made according to standard procedures followed by City, as requested upon receipt of billing from the UTHSCSA. If any amount set out in any invoice is disputed by City, then City agrees to notify UTHSCSA in writing of the disputed amount, and the basis for the dispute, within fifteen (15) days of receipt of such invoice. The Parties agree that only the disputed amount may be retained by City until the disputed matter is resolved, and that the undisputed balance must be paid in accordance with the terms of this Section.

4.4 No additional fees or expenses of UTHSCSA shall be charged by UTHSCSA nor be payable by City. The Parties hereby agree that all compensable expenses of UTHSCSA have been provided for in the total payment to UTHSCSA as specified in Section 4.1 above. Total payments to UTHSCSA cannot exceed that amount set forth in Section 4.1 above, without prior approval and agreement of all Parties.

4.5 Final acceptance of work products and services require written approval by City, as determined by the Director as the City's approval official. Payment will be made to UTHSCSA following written approval of the final work products and services by Director. Approval shall not be unreasonably withheld. City shall not be obligated or liable under this Agreement to any party, other than UTHSCSA, for the payment of any monies or the provision of any goods or services.

4.6 City and UTHSCSA will determine fees for additional services by mutual agreement through an amendment(s) of this Agreement. In the event the Parties agree that UTHSCSA is to provide additional services and also agree as to the basis for calculating the compensation for such services, the City agrees to pay for such services in accordance with the terms of this Agreement.

4.7 UTHSCSA agrees to provide any and all documentation required for inclusion in any report concerning the Head Start Program. All services required under this Agreement will be performed to City's satisfaction, and City will not be liable for any payment under this Agreement for services which are unsatisfactory and which have not been approved by City. The payment for services provided hereunder will not be paid until required reports, data, and documentation have been received and approved by the City, as determined by the Director as the City's approval official.

4.8 The Parties agree that any payment by either Party for the performance of governmental functions or services must make those payments from current revenues available to the paying Party.

V. OWNERSHIP OF DOCUMENTS

5.1 Ownership of Intellectual Property. UTHSCSA and City agree that any and all writings, documents, maps or information in whatsoever form and character produced by UTHSCSA pursuant to the provisions of this Agreement (the "Project") shall be and remain the sole and exclusive proprietary property of City. The Project shall be deemed a "work for hire" within the meaning of the copyright laws of the United States, and ownership of the Project and all rights therein shall be solely vested in City. UTHSCSA hereby grants, sells, assigns, and conveys to City all rights in and to the Project and the tangible and intangible property rights relating to or arising out of the Project, including, without limitation, any and all copyright, patent, and trade secret rights. All intellectual property rights including, without limitation, patent, copyright, trade secret, trademark, brand name, color schemes, designs, screens, displays, user interfaces, data structures, organization, sequences of operation, trade dress, and other proprietary rights (the "Intellectual Property Rights") in the Project shall be solely vested in City. As owner of the tangible and intangible intellectual property, City shall have the right to reproduce, publish, authorize others to reproduce or publish, or otherwise use such material. UTHSCSA agrees to execute all documents reasonably requested by City to perfect and establish City's right to the Intellectual Property Rights. In the event City shall be unable, after reasonable effort, to secure UTHSCSA'S signature on any documents relating to Intellectual Property Rights in the Project, including without limitation, any letters, patent, copyright, or other protection relating to Project, for any reason whatsoever, UTHSCSA hereby irrevocably designates and appoints City and its duly authorized officers and agents as UTHSCSA's agent and attorney-in-fact, to act for and in UTHSCSA's behalf and stead to execute and file any such application or applications and to do all other lawfully permitted acts to further the prosecution and issuance of letters patent, copyright or other analogous protection thereon with the same legal force and effect as if executed by UTHSCSA. Provided, however, nothing herein contained is intended nor shall it be construed to require UTHSCSA to transfer any ownership interest in UTHSCSA's best practice and benchmarking information to the City.

5.2 In the event that UTHSCSA desires to copyright material or to permit any third- party to do so, UTHSCSA must obtain City's prior written approval to do so and must appropriately acknowledge City's support in any such materials.

5.3 UTHSCSA shall not use any City data or information collected or observed during the term of this Agreement for publication, or reviews or presentations unless approval is obtained in writing from the City.

5.4 In accordance with Texas law, UTHSCSA acknowledges and agrees that all local government records as defined in Chapter 201, Section 201.003 (8) of the Texas Local Government Code created or received in the transaction of official business or the creation or maintenance of which were paid for with public funds are declared to be public property and subject to the provisions of Chapter 201 of the Texas Local Government Code and Subchapter J, Chapter 441 of the Texas Government Code. Thus, UTHSCSA agrees that no such local government records produced by or on the behalf of UTHSCSA pursuant to this Agreement shall be the subject of any copyright or proprietary claim by UTHSCSA.

VI. REQUESTS FOR AND RETENTION OF RECORDS

6.1 UTHSCSA and its subcontractors, if any, shall properly, accurately and completely maintain all documents, papers, and records, and other evidence pertaining to the services rendered hereunder (hereafter referred to as "documents"), and shall make such materials available to the City at their respective offices, at all reasonable times and as often as City may deem necessary during the Agreement period, including any extension or renewal hereof, and the record retention period established herein, for purposes of audit, inspection, examination, and making excerpts or copies of same by City and any of its authorized representatives.

6.2 UTHSCSA shall retain any and all documents produced as a result of services provided hereunder for a period of four (4) years (hereafter referred to as "retention period") or whatever period is determined

necessary based on the Records Retention guidelines, established by applicable law for this Agreement. If, at the end of the retention period, there is litigation or other questions arising from, involving or concerning this documentation or the services provided hereunder, UTHSCSA shall retain the records until the resolution of such litigation or other such questions. UTHSCSA acknowledges and agrees that City shall have access to any and all such documents at any and all times, as deemed necessary by City, during said retention period. City may, at its election, require UTHSCSA to return said documents to City prior to or at the conclusion of said retention.

6.3 The Public Information Act, Government Code Section 552.021, requires the City to make public information available to the public. Under Government Code Section 552.002(a), public information means information that is collected, assembled or maintained under a law or ordinance or in connection with the transaction of official business: 1) by a governmental body; or 2) for a governmental body and the governmental body owns the information or has a right of access to it. Therefore, if UTHSCSA receives inquiries regarding documents within its possession pursuant to this Contract, UTHSCSA shall within twenty four (24) hours of receiving the requests forward such requests to City for disposition. If the requested information is confidential pursuant to state or federal law, the UTHSCSA shall submit to City the list of specific statutory authority mandating confidentiality no later than three (3) business days of UTHSCSA's receipt of such request. For the purposes of communicating and coordinating with regard to public information requests, all communications shall be made to the designated public information liaison for each Party. Each Party shall designate in writing to the other Party the public information liaison for its organization and notice of a change in the designated liaison shall be made promptly to the other Party.

VII. TERMINATION

7.1 For purposes of this Agreement, "termination" of this Agreement shall mean termination by expiration of the Agreement term as stated in Article II. Term, or earlier termination pursuant to any of the provisions hereof.

7.2 Termination Without Cause. This Agreement may be terminated by either Party upon 30 calendar days written notice, which notice shall be provided in accordance with Article VIII. Notice.

7.3 Termination For Cause. Upon written notice, which notice shall be provided in accordance with Article VIII. Notice, City may terminate this Agreement as of the date provided in the notice, in whole or in part, upon the occurrence of one (1) or more of the following events, each of which shall constitute an Event for Cause under this Agreement:

7.3.1 The sale, transfer, pledge, conveyance or assignment of this Agreement without prior approval by the City; or

7.3.2 Any material breach of the terms of this Agreement, as determined solely by City.

7.4 Defaults With Opportunity for Cure. Should UTHSCSA default in the performance of this Agreement in a manner stated in this section 7.4 below, same shall be considered an event of default. City shall deliver written notice of said default specifying such matter(s) in default. UTHSCSA shall have ten (10) business days after receipt of the written notice, in accordance with Article VIII. Notice, to cure such default. If UTHSCSA fails to cure the default within such ten-day cure period, City shall have the right, without further notice, to terminate this Agreement in whole or in part as City deems appropriate, and to contract with another contractor to complete the work required in this Agreement. City shall also have the right to offset the cost of said new Agreement with a new contractor against UTHSCSA's future or unpaid invoice(s), subject to the duty on the part of City to mitigate its losses to the extent required by law.

7.4.1 The sale, transfer, pledge, conveyance or assignment of this Agreement without prior

approval, as provided in Article XII. Assignment and Subcontracting;

7.4.2 Bankruptcy or selling substantially all of company's assets;

7.4.3 Failing to perform or failing to comply with any covenant herein required;

7.4.4 Performing unsatisfactorily as determined by City;

7.4.5 The failure to meet reporting requirements as set out and determined by City; or

7.4.6 Notification of any investigation, claim or charge by a local, state or federal agency involving fraud, theft or the commission of a felony.

7.5 Termination By Law. If any state or federal law or regulation is enacted or promulgated which prohibits the performance of any of the duties herein, or, if any law is interpreted to prohibit such performance, this Agreement shall automatically terminate as of the effective date of such prohibition.

7.6 Regardless of how this Agreement is terminated, UTHSCSA shall affect an orderly transfer to City or to such person(s) or firm(s) as the City may designate, at no additional cost to City, all completed or partially completed documents, papers, records, charts, reports, and any other materials or information produced as a result of or pertaining to the services rendered by UTHSCSA, or provided to UTHSCSA, hereunder, regardless of storage medium, if so requested by City, or shall otherwise be retained by UTHSCSA in accordance with Article VI. Records Retention. Any record transfer shall be completed within thirty (30) calendar days of a written request by City and shall be completed at UTHSCSA's sole cost and expense. Payment of compensation due or to become due to UTHSCSA is conditioned upon delivery of all such documents, if requested.

7.7 Within thirty (30) calendar days of the effective date of completion, or termination or expiration of this Agreement, UTHSCSA shall submit to City its claims, in detail, for the monies owed by City for services performed under this Agreement through the effective date of termination. Failure by UTHSCSA to submit its claims within said thirty (30) calendar days shall negate any liability on the part of City and constitute a Waiver by UTHSCSA of any and all right or claims to collect moneys that UTHSCSA may rightfully be otherwise entitled to for services performed pursuant to this Agreement.

7.8 Upon the effective date of expiration or termination of this Agreement, UTHSCSA shall cease all operations of work being performed by UTHSCSA or any of its subcontractors pursuant to this Agreement.

7.9 Termination not sole remedy. In no event shall City's action of terminating this Agreement, whether for cause or otherwise, be deemed an election of City's remedies, nor shall such termination limit, in any way, at law or at equity, City's right to seek damages from or otherwise pursue UTHSCSA for any default hereunder or other action.

VIII. NOTICE

8.1 Except where the terms of this Agreement expressly provide otherwise, any election, notice or communication required or permitted to be given under this Agreement shall be in writing and deemed to have been duly given if and when delivered personally (with receipt acknowledged), or three (3) days after depositing same in the U.S. mail, first class, with proper postage prepaid, or upon receipt if sending the same by certified mail, return receipt requested, or upon receipt when sent by a commercial courier service (such as Federal Express or DHL Worldwide Express) for expedited

delivery to be confirmed in writing by such courier, at the addresses set forth below or to such other address as either party may from time to time designate in writing.

If intended for City to:

City Clerk
City of San Antonio
P.O. Box 839966
San Antonio, Texas 78283-3966

AND City of San Antonio
Director, San Antonio Metropolitan Health District
100 W. Houston, 14th Floor
San Antonio, Texas 78205

If intended for UTHSCSA to:

Juanita Lozano-Pineda, DDS, MPH
Associate Dean for External Affairs Dental Deans Office
UTHSCSA School of Dentistry
7703 Floyd Curl Drive
San Antonio, TX 78229-300

**IX.
RESERVED**

**X.
AUDIT**

10.1 The City reserves the right to conduct or cause to be conducted an audit or review of all funds received under this Agreement during normal business hours with advanced written notice as deemed necessary by City, not to exceed two times per 12 month period. The City Internal Audit Staff, a Certified Public Accounting (CPA) firm, or other personnel as designated by the City, may perform such audit(s) or reviews. The City reserves the right to determine the scope of every audit. In accordance herewith, UTHSCSA agrees to make available to City all accounting and Project records. UTHSCSA acknowledges that this provision shall not limit the City from additional follow-up to audits or reviews, as necessary, or from investigating items of concern that may be brought to the City's attention which are other than routine.

10.2 UTHSCSA shall during normal business hours with advance written notice, and not to exceed two times per twelve month period by City and/or the applicable state or federal governing agency or any other auditing entity, make available the books, records, documents, reports, and evidence with respect to all matters covered by this Agreement and shall continue to be so available for a minimum period of four (4) years or whatever period is determined necessary based on the Records Retention guidelines, established by applicable law for this Agreement. Said records shall be maintained for the required period beginning immediately after Agreement termination, save and except there is litigation or if the audit report covering such agreement has not been accepted, then the UTHSCSA shall retain the records until the resolution of such issues has satisfactorily occurred. The auditing entity shall have the authority to audit, examine and make excerpts, transcripts, and copies from all such books, records, documents and evidence, including all books and records used by UTHSCSA in accounting for expenses incurred under this Agreement, all contracts, invoices, materials, payrolls, records of personnel, conditions of employment and other data relating to matters covered by this Agreement.

10.3 When an audit or examination determines that the UTHSCSA has expended funds or incurred costs which are questioned by the City and/or the applicable state or federal governing agency, the UTHSCSA shall be notified and provided an opportunity to address the questioned expenditure or costs.

10.4 Should any expense or charge that has been reimbursed be subsequently disapproved or disallowed as a result of any site review or audit, the UTHSCSA will promptly refund such amount to the City no later than thirty (30) business days from the date of notification of such disapproval or disallowance by the City. At its sole option, Metro Health may instead deduct such undisputed claims from subsequent reimbursements under this Agreement; however, in the absence of prior notice by City of the exercise of such option, UTHSCSA shall provide to City a full refund of such amount no later than thirty (30) business days from the date of notification of such disapproval or disallowance by the City. If UTHSCSA is obligated under the provision hereof to refund a disapproved or disallowed cost incurred, such refund shall be required and be made to City by check, cashier's check or money order. Should the City, at its sole discretion, deduct such undisputed claims from subsequent reimbursements, the UTHSCSA is forbidden from reducing Project expenditures and UTHSCSA must use its own funds to maintain the Project.

10.5 UTHSCSA agrees and understands that all expenses, fees, and penalties associated with the collection of delinquent debts owed by UTHSCSA shall be the sole responsibility of the UTHSCSA and shall not be paid from any Project funds received by the UTHSCSA under this Agreement. Delinquent debts that would otherwise be identified as allowable costs may be paid with Project funds with approval of Metro Health.

XI. ADMINISTRATION OF AGREEMENT AND RESTRICTIONS ON USE OF FUNDS

11.1 Metro Health is assigned monitoring, fiscal control, and evaluation of certain projects funded by the City with general or grant funds, including the Project covered by this Agreement. Therefore, UTHSCSA agrees to permit City and/or State to evaluate, through monitoring, reviews, inspection or other means, the quality, appropriateness, and timeliness of services delivered under this Agreement and to assess UTHSCSA's compliance with applicable legal and programmatic requirements. At such times and in such form as may be required by Metro Health, the UTHSCSA shall furnish to Metro Health and the Grantor of the Funds, if applicable, such statements, reports, records, data, all policies and procedures and information as may be requested by Metro Health and shall permit the City and Grantor of the Funds, if applicable, to have interviews with its personnel, board members and program participants pertaining to the matters covered by this Agreement. UTHSCSA agrees that the failure of the City to monitor, evaluate, or provide guidance and direction shall not relieve the UTHSCSA of any liability to the City for failure to comply with the terms of the Project or the terms of this Agreement.

11.2 Unless disclosure is authorized by the City, UTHSCSA agrees to maintain in confidence all information pertaining to the Project or other information and materials prepared for, provided by, or obtained from City including, without limitation, reports, information, project evaluation, project designs, other related information (collectively, the "Confidential Information") and to use the Confidential Information for the sole purpose of performing its obligations pursuant to this Agreement. UTHSCSA shall protect the Confidential Information and shall take all reasonable steps to prevent the unauthorized disclosure, dissemination, or publication of the Confidential Information. If disclosure is required (i) by law or (ii) by order of a governmental agency or court of competent jurisdiction, UTHSCSA shall, where possible, give the Director of Metro Health prior written notice that such disclosure is required with a full and complete description regarding such requirement. UTHSCSA certifies that it has established specific procedures designed to meet the obligations of this Article, including, but not limited to execution of agreements regarding the treatment of Confidential Information with UTHSCSA's employees and subcontractors prior to any disclosure of the Confidential Information. This Article shall not be construed to limit the State's or the City's or its authorized

representatives' right to obtain copies, review and audit records or other information, confidential or otherwise, under this Agreement. Upon termination or expiration this Agreement, UTHSCSA shall return to City all copies of materials related to the Project, including the Confidential Information. All confidential obligations contained herein (including those pertaining to information transmitted orally) shall survive the termination this Agreement for the period noted above. The Parties shall ensure that their respective employees, agents, and contractors are aware of and shall comply with the aforementioned obligations. The foregoing shall not apply when, after and to the extent the Confidential Information disclosed, as documented by competent evidence:

- A. is not disclosed in writing or reduced to writing and marked with an appropriate confidentiality legend within thirty (30) days after disclosure; is already in the recipient party's possession at the time of disclosure as evidenced by written records in the possession of the receiving party prior to such time;
- B. is or later becomes part public domain through no fault of recipient party;
- C. is received from a third party having no obligations of confidentiality to the disclosing party;
- D. is independently developed by the recipient party by its personnel having no access to the Confidential Information.

11.3 UTHSCSA shall comply with standard practices of confidentiality of patient information as required by Metro Health and mandated by The Health Insurance Portability and Accountability Act of 1996 (HIPAA) and Texas State privacy laws. UTHSCSA will enter into a Business Associate Agreement with the City, which is attached hereto as **Attachment I** and incorporated herein as part of this Agreement for all purposes.

In addition, UTHSCSA must take reasonable measures to safeguard protected personally identifiable information and other information the HHS awarding agency or City designates as sensitive or the City considers sensitive consistent with applicable Federal, state, local, and tribal laws regarding privacy and obligations of confidentiality.

11.4 City policies regarding authorization for access to confidential information and review of medical records will be followed by UTHSCSA. UTHSCSA assumes full responsibility for any breach of confidence by its staff or its participating medical professionals with regard to activities under this Agreement.

11.5 Prohibited Political Activity. UTHSCSA agrees that no funds provided from or through the City shall be contributed or used to conduct political activities for the benefit of any candidate for elective public office, political party, organization or cause, whether partisan or non-partisan, nor shall the personnel involved in the administration of the Project provided for in this Agreement be assigned to work for or on behalf of any partisan or non-partisan political activity.

11.6 UTHSCSA agrees that no funds provided under this Agreement may be used in any way to attempt to influence, in any manner, a member of Congress or any other State or local elected or appointed official.

11.7 The prohibitions set forth in Sections 11.5 and 11.6 above include, but are not limited to, the following:

- (A) an activity to further the election or defeat of any candidate for public office or for any activity undertaken to influence the passage, defeat or final content of local, state or federal legislation;

- (B) working or directing other personnel to work on any political activity during paid for with City funds, including, but not limited to activities such as taking part in voter registration drives, voter transportation activities, lobbying, collecting contributions, making speeches, organizing or assisting at meetings or rallies, or distributing political literature;
- (C) coercing personnel, whether directly or indirectly, to work on political activities on their personal time, including activities such as taking part in voter registration drives, voter transportation activities, lobbying, collecting contributions, making speeches, organizing or assisting at meetings or rallies, or distributing political literature; and
- (D) using facilities or equipment paid for, in whole or in part with City funds for political purposes, including physical facilities such as office space, office equipment or supplies, such as telephones, computers, fax machines, during and after regular business hours.

11.8 UTHSCSA agrees that in any instance where an investigation of the above is ongoing or has been confirmed, fees paid to the UTHSCSA under this Agreement may, at the City's discretion, be withheld until the situation is resolved, or the appropriate member of the UTHSCSA's personnel is terminated.

11.9 Sections 11.5 through 11.8 shall not be construed to prohibit any person from exercising his or her right to express his or her opinion or to limit any individual's right to vote. Further, UTHSCSA and staff members are not prohibited from participating in political activities on their own volition, if done during time not paid for with Agreement funds.

11.10 Adversarial proceedings. Except in circumstances where the following is in conflict with federal law or regulations pertaining to this grant, the UTHSCSA agrees to that under no circumstances will the funds received under this Agreement be used, either directly or indirectly, to pay costs or attorney fees incurred in any adversarial proceeding against the City or any other public entity.

XII. INSURANCE

12.1 UTHSCSA, as a member of The University of Texas System ("System"), is an agency of the State of Texas and is self-insured pursuant to The University of Texas System Professional Medical Malpractice Self-insurance Plan, under the authority of Section 59, Texas Education Code. UTHSCSA has and will maintain in force during the term of this Agreement adequate insurance to cover its indemnification obligations hereunder.

XIII. INDEMNIFICATION

13.1 UTHSCSA and the City acknowledge they are political subdivisions of the State of Texas and are subject to, and comply with the applicable provisions of the Texas Tort Claims Act, as set out in the Civil Practice and Remedies Code, Section 101.001, *et. seq.*, and the remedies authorized therein regarding claims or causes of action that may be asserted by third parties for accident, injury or death. UTHSCSA and City shall each promptly notify the other in writing of any claim or demands that become known against them in relation to or arising out of activities under this Agreement.

XIV.
SMALL MINORITY OR WOMAN OWNED
BUSINESS ADVOCACY POLICY

14.1 UTHSCSA is hereby advised that it is the policy of the City of San Antonio that Small, Minority or Woman owned Business Enterprises shall have the maximum practical opportunity to participate in the performance of public contracts. UTHSCSA agrees that it will adhere to its established policies regarding small, minority, or women owned business policy regarding procurement, construction and professional service contracts.

14.2 In the event that the UTHSCSA utilizes subcontractors to perform any part of this agreement the UTHSCSA will ensure that each subcontractor is aware that they must comply with the City of San Antonio's Small Business Economic Development Advocacy Ordinance (the "SBEDA Program"), which is posted on the City's Economic Development Department website and is also available in hard copy form upon request to the City.

XV.
ASSIGNMENT AND SUBCONTRACTING

15.1 UTHSCSA shall supply qualified personnel as may be necessary to complete the work to be performed under this Agreement. Persons retained to perform work pursuant to this Agreement shall be the employees or subcontractors of UTHSCSA. UTHSCSA, its employees or its subcontractors shall perform all necessary work.

15.2 It is City's understanding that this Agreement is made in reliance thereon that UTHSCSA does not intend to use subcontractors in the performance of this Agreement.

15.3 Any work or services approved for subcontracting hereunder shall be subcontracted only by written contract and, unless specific waiver is granted in writing by the City, shall be subject by its terms to each and every provision of this Agreement. Compliance by subcontractors with this Agreement shall be the responsibility of UTHSCSA. City shall in no event be obligated to any third party, including any subcontractor of UTHSCSA, for performance of services or payment of fees. Any references in this Agreement to an assignee, transferee, or subcontractor, indicate only such an entity as has been approved by the Director.

15.4 Except as otherwise stated herein, UTHSCSA may not sell, assign, pledge, transfer or convey any interest in this Agreement, nor delegate the performance of any duties hereunder, by transfer, by subcontracting or any other means, without the consent of the Director. As a condition of such consent, if such consent is granted, UTHSCSA shall remain liable for completion of the services outlined in this Agreement in the event of default by the successor contractor, assignee, transferee or subcontractor.

15.5 Any attempt to transfer, pledge or otherwise assign this Agreement without said written approval, shall be void *ab initio* and shall confer no rights upon any third person. Should UTHSCSA assign, transfer, convey, delegate, or otherwise dispose of any part of all or any part of its right, title or interest in this Agreement, City may, at its option, cancel this Agreement and all rights, titles and interest of UTHSCSA shall thereupon cease and terminate, in accordance with Article VII. Termination, notwithstanding any other remedy available to City under this Agreement. The violation of this provision by UTHSCSA shall in no event release UTHSCSA from any obligation under the terms of this Agreement, nor shall it relieve or release UTHSCSA from the payment of any damages to City, which City sustains as a result of such violation.

XVI.
INDEPENDENT CONTRACTOR

16.1 UTHSCSA covenants and agrees that it is an independent contractor and not an officer, agent, servant or employee of City; that UTHSCSA shall have exclusive control of and exclusive right to control the details of the work performed hereunder and all persons performing same, and shall be responsible for the acts and omissions of its officers, agents, employees, contractors, subcontractors and consultants; that the doctrine of *respondeat superior* shall not apply as between City and UTHSCSA, its officers, agents, employees, contractors, subcontractors and consultants, and nothing herein shall be construed as creating the relationship of employer-employee, principal-agent, collaborators or joint venturers between City and UTHSCSA. The Parties hereto understand and agree that the City shall not be liable for any claims which may be asserted by any third party occurring in connection with the services to be performed by the UTHSCSA under this Agreement and that the UTHSCSA has no authority to bind the City.

XVII.
NONDISCRIMINATION POLICY

17.1 Non-Discrimination. As a party to this contract, UTHSCSA understands and agrees to comply with the Non-Discrimination Policy of the City of San Antonio contained in Chapter 2, Article X. of the City Code and further, shall not discriminate on the basis of race, color, religion, national origin, sex, sexual orientation, gender identity, veteran status, age or disability, unless exempted by state or federal law, or as otherwise established herein.

17.2 The UTHSCSA shall comply with all federal, State, or local laws, rules, and orders prohibiting discrimination, and shall not engage in employment practices which have the effect of discriminating against any employee or applicant for employment, and will take affirmative steps to ensure that applicants are employed and employees are treated during employment without regard to their race, color, religion, national origin, sex, age, handicap, or political belief or affiliation. Consistent with the foregoing, UTHSCSA agrees to comply with Executive Order 11246, entitled "Equal Employment Opportunity", as amended by Executive Order 11375, and as supplemented by regulations at 41 C.F.R. Part 60. Additionally, UTHSCSA certifies that it will comply fully with the following nondiscrimination, minimum wage and equal opportunity provisions, including but not limited to:

- a) Title VII of the Civil Rights Act of 1964, as amended;
- b) Section 504 of the Rehabilitation Act of 1973, as amended;
- c) The Age Discrimination Act of 1975, as amended;
- d) Title IX of the Education Amendments of 1972, as amended; (Title 20 USC sections 1681-1688);
- e) Fair Labor Standards Act of 1938, as amended;
- f) Equal Pay Act of 1963, P.L. 88-38; and
- g) All applicable regulations implementing the above laws.

XVIII.
CONFLICT OF INTEREST

18.1 UTHSCSA acknowledges that it is informed that the Charter of the City of San Antonio and its Ethics Code prohibit a City officer or employee, as those terms are defined in Part B, Section 10 of the Ethics Code, from having a financial interest in any contract with the City or any City agency such as city owned utilities. An officer or employee has a "prohibited financial interest" in a contract with the City or in the sale to the City of land, materials, supplies or service, if any of the following individual(s) or entities is a party to the contract or sale: a City officer or employee; his parent, child or spouse; a business entity in which the officer or employee, or his- parent, child or spouse owns- ten (10) percent or more of the voting stock or shares of the business entity, or ten (10) percent or more of the fair market value of the business entity; a business entity in which any individual or entity above listed is a subcontractor on a City contract, a collaborator or a parent or subsidiary business entity.

18.2 Pursuant to the subsection above, UTHSCSA warrants and certifies, and this Agreement is made in reliance thereon, that it, its officers, employees and agents are neither officers nor employees of the City. UTHSCSA further warrants and certifies that it has tendered to the City a Discretionary Contracts Disclosure Statement compliance with the City's Ethics Code.

XIX. AMENDMENTS

19.1 Except where the terms of this Agreement expressly provide otherwise, any alterations, additions or deletions to the terms hereof: shall be affected by amendment, in writing, executed by both City and UTHSCSA.

XX. SEVERABILITY

20.1 If any clause or provision of this Agreement is held invalid, illegal or unenforceable under present or future federal, state or local laws, including but not limited to the City Charter, City Code, or ordinances of the City of San Antonio, Texas, then and in that event it is the intention of the Parties hereto that such invalidity, illegality or unenforceability shall not affect any other clause or provision hereof and that the remainder of this Agreement shall be construed as if such invalid, illegal or unenforceable clause or provision was never contained herein; it is also the intention of the Parties hereto that in lieu of each clause or provision of this Agreement that is invalid, illegal, or unenforceable, there be added as a part of the Agreement a clause or provision as similar in terms to such invalid, illegal or unenforceable clause or provision as maybe possible, legal, valid and enforceable.

XXI. LICENSES/CERTIFICATIONS

21.1 UTHSCSA warrants and certifies that UTHSCSA and any other person designated to provide services hereunder has the requisite training, license and/or certification to provide said services, and meets all competence standards promulgated by all other authoritative bodies, as applicable to the services provided herein.

XXII. COMPLIANCE

22.1 UTHSCSA shall provide and perform all services required under this Agreement in compliance with all applicable federal, state and local laws, rules and regulations, including requirements as applicable to the federal Head Start Program, to include but not limited to:

- (A) The Head Start Act (42 U.S.C. §9801 *et seq.*, as amended);
- (B) 45 C.F.R. Part 1301 *et seq.*;
- (C) The terms and conditions of the HHS grants awarded to City, as well as applicable information memorandum and publications issued by HHS.
- (D) As applicable, Grants Administration regulations; and
- (E) The Office of Management and Budget (OMB) Circular found at 2 C.F.R. Part 200 *et al.*, titled "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards," ("Uniform Guidance"), as applicable to the funds received by UTHSCSA.

21.2 UTHSCSA acknowledges that funds for this Agreement are provided by a federal entity. As such, the UTHSCSA agrees to comply with applicable terms and conditions associated with said funds as directed by the federal entity, City or as required in this Agreement, including but not limited to: 2 C.F.R. Part 200, entitled Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards incorporated herein by reference. UTHSCSA agrees to comply with applicable terms and conditions.

21.4 Procurement of Recovered Materials. UTHSCSA and its subcontractors shall comply with section 6002 of the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act, including, but not limited to, the regulatory provisions of 40 CFR Part 247, and Executive Order 12873, as they apply to the procurement of the items designated in Subpart B of 40 CFR Part 247.

XXIII. NONWAIVER OF PERFORMANCE

23.1 Unless otherwise specifically provided for in this Agreement, a waiver by either Party of a breach of any of the terms, conditions, covenants or guarantees of this Agreement shall not be construed or held to be a waiver of any succeeding or preceding breach of the same or any other term, condition, covenant or guarantee herein contained. Further, any failure of either Party to insist in any one or more cases upon the strict performance of any of the covenants of this Agreement, or to exercise any option herein contained, shall in no event be construed as a waiver or relinquishment for the future of such covenant or option. In fact, no waiver, change, modification or discharge by either party hereto of any provision of this Agreement shall be deemed to have been made or shall be effective unless expressed in writing and signed by the party to be charged. In case of City, such changes must be approved by the City Council, as described in Article XIX. Amendments. No act or omission by a Party shall in any manner impair or prejudice any right, power, privilege, or remedy available to that Party hereunder or by law or in equity, such rights, powers, privileges, or remedies to be always specifically preserved hereby.

XXIV. LAW APPLICABLE

24.1 THIS AGREEMENT SHALL BE CONSTRUED UNDER AND IN ACCORDANCE WITH THE LAWS OF THE STATE OF TEXAS.

XXV. LEGAL AUTHORITY

25.1 The signer of this Agreement for UTHSCSA represents, warrants, assures and guarantees that he has full legal authority to execute this Agreement on behalf of UTHSCSA and to bind UTHSCSA to all of the terms, conditions, provisions and obligations herein contained.

**XXVI.
PARTIES BOUND**

26.1 This Agreement shall be binding on and inure to the benefit of the Parties hereto and their respective heirs, executors, administrators, legal representatives, and successors and assigns, except as otherwise expressly provided for herein.

**XXVII.
CAPTIONS**

27.1 The captions contained in this Agreement are for convenience of reference only, and in no way limit or enlarge the terms and/or conditions of this Agreement.

**XXVIII.
DEBARMENT**

28.1 UTHSCSA certifies that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from participation in any State or Federal Program.

28.2 UTHSCSA shall provide immediate written notice to City, in accordance with Article VIII. Notice, if, at any time during the term of this Agreement, including any renewals here of, UTHSCSA learns that its certification was erroneous when made or has become erroneous by reason of changed circumstances.

**XXIX.
ENTIRE AGREEMENT**

29.1 This Agreement, together with its authorizing ordinance and its exhibits, if any, constitute the final and entire agreement between the Parties hereto and contain all of the terms and conditions agreed upon. No other agreements, oral or otherwise, regarding the subject matter of this Agreement shall be deemed to exist or to bind the Parties hereto, unless same be in writing, dated subsequent to the date hereto, and duly executed by the Parties, in accordance with Article XIX. Amendments.

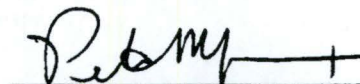
EXECUTED and AGREED to this the ____ day of _____.

CITY OF SAN ANTONIO

**THE UNIVERSITY OF TEXAS
HEALTH SCIENCE CENTER
AT SAN ANTONIO**

Claude A. Jacob

Health Director
San Antonio Metropolitan Health District



Peter M Loomer, BSc, DDS, PhD, MRCD(R),
FACD
Dean
UTHSCSA School of Dentistry

APPROVED AS TO FORM:

City Attorney

Edward Ellis III

Edward Ellis, DDS, OMS
Chair, Oral & Maxillofacial Surgery

Gary F. Guest

Gary F. Guest, DDS
Associate Dean for Patient Care

Ginny Gomez-Leon

Aug 16, 2022

Ginny Gomez-Leon MBA, CPA
Vice President & Chief Financial Office (Officer)

WITNESSETH:

HIPAA BUSINESS ASSOCIATE AGREEMENT

This HIPAA Business Associate Agreement (**Agreement**) is entered into by and between the City of San Antonio ("**Covered Entity**"), and the University of Texas Health Science Center at San Antonio an Institution of The University of Texas System and an agency of the State of Texas, a **Business Associate** ("**BA**"), referred to collectively herein as the "**Parties**."

WHEREAS, the City of San Antonio and BA have entered into a Professional Services Contract ("Service Contract"), executed on _____, whereby BA provides services to the Covered Entity; and

WHEREAS, Covered Entity and BA may need to use, disclose and/or make available certain information pursuant to the terms of the Service Contract, some of which may constitute Protected Health Information ("PHI"); and

WHEREAS, Covered Entity and BA intend to protect the privacy and provide for the security of PHI disclosed to each other pursuant to the Service Contract in compliance with the Health Insurance Portability and Accountability Act of 1996, Public Law 104-191 ("HIPAA") and regulations promulgated thereunder by the U.S. Department of Health and Human Services (the "HIPAA Regulations"), Health Information Technology for Economic and Clinical Health Act ("HITECH Act") and other applicable laws; and

WHEREAS, the purpose of this Agreement is to satisfy certain standards and requirements of HIPAA and the HIPAA Regulations, including, but not limited to, Title 45, Section 164.504(e) of the Code of Federal Regulations ("C.F.R."), as the same may be amended from time to time;

NOW THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Parties, intending to be legally bound, hereby agree as follows:

A. Definitions. For the purposes of this Agreement, the following terms have the meanings ascribed to them:

- (1) "Breach" shall mean an impermissible use or disclosure under the Privacy Rule that compromises the security or privacy of the protected health information. An impermissible use or disclosure of protected health information is presumed to be a breach unless the covered entity or business associate, as applicable, demonstrates that there is a low probability that the protected health information has been compromised based on a risk assessment of at least the following factors:
 - (a) the nature and extent of the protected health information involved, including the types of identifiers and the likelihood of re-identification;
 - (b) the unauthorized person who used the protected health information or to whom the disclosure was made;
 - (c) whether the protected health information was actually acquired or viewed; and
 - (d) the extent to which the risk to the protected health information has been mitigated.
- (2) "Designated Record Set" shall have the same meaning as the term "designated record set" in 45 C.F.R. 164.501.
- (3) "Disclosure" with respect to PHI, shall mean the release, transfer, provision of access to or divulging in any other manner of PHI outside the entity holding the PHI.
- (4) "Health Information" is defined in 45 C.F.R. 160.103 as any information, including genetic information, whether oral or recorded in any form or medium that: (1) is created or received by a health care provider, health plan, public health authority, employer, life insurer, school or university, or health care clearinghouse; and (2) relates to the past, present, or future physical or mental health or

condition of an individual; the provision of health care to an individual; or the past, present, or future payment for the provision of health care to an individual.

- (5) "Individual" means the person who is the subject of protected health information and shall include a person who qualifies as a personal representative in accordance with 45 C.F.R. 164.502(g).
- (6) "Individually Identifiable Health Information" is defined in 45 C.F.R. 160.103 as information that is a subset of health information, including demographic information collected from an individual, and: (1) is created or received by a health care provider, health plan, employer, or health care clearinghouse; and (2) relates to the past, present, or future physical or mental health or condition of an individual; the provision of health care to an individual; or the past, present, or future payment for the provision of health care to an individual; and (i) identifies the individual; or (ii) with respect to which there is a reasonable basis to believe the information can be used to identify the individual.
- (7) "Privacy Rule" shall mean the regulations for Privacy of Individually Identifiable Health Information at 45 C.F.R. Part 160 and Part 164, Subpart E.
- (8) "Protected Health Information" or "PHI" shall have the same meaning as the term "protected health information" in 45 C.F.R. 160.103, limited to the information created or received by BA from or on behalf of Covered Entity. PHI includes "Electronic Protected Health Information" or "EPHI" and shall have the meaning given to such term under the HIPAA Rule, including but not limited to 45 C.F.R. Parts 160, 162, 164, and under HITECH.
- (9) "Required By Law" means a mandate contained in law that compels an entity to make a use or disclosure of protected health information and that is enforceable in a court of law. Required by law includes, but is not limited to, court orders and court-ordered warrants; subpoenas or summons issued by a court, grand jury, a governmental or tribal inspector general, or an administrative body authorized to require the production of information; a civil or an authorized investigative demand; Medicare conditions of participation with respect to health care providers participating in the program; and statutes or regulations that require the production of information, including statutes or regulations that require such information if payment is sought under a government program providing public benefits. 45 C.F.R. 164.103.
- (10) "Secretary" shall mean the Secretary of the U.S. Department of Health and Human Services or his designee.
- (11) "Security Rules" shall mean the Security Standards for the Protection of Electronic Protected Health Information codified at 45 C.F.R. Part 164.
- (12) The Health Information Technology for Economic and Clinical Health ("HITECH") Act shall mean Division A, Title XII of the American Recovery and Reinvestment Act of 2009 (Pub. L. 111-5).

B. BA Obligations and Activities. BA agrees that it shall:

- (1) Not use or disclose the PHI other than as permitted or required by this Agreement or as Required by Law;
- (2) Establish and maintain appropriate administrative, physical, and technical safeguards that reasonably and appropriately protect, consistent with the services provided under this Agreement, the confidentiality, integrity, and availability of the electronic protected health information that it creates, receives, maintains, or transmits on behalf of Covered Entity;
- (3) Mitigate, to the extent practicable, any harmful effect that is known to BA of a use or disclosure of PHI by BA in violation of the requirements of this Agreement;

- (4) Report to Covered Entity any use or disclosure of PHI of which BA is aware or becomes aware that is not provided for or allowed by this Agreement as well as any Security Incident as defined by 45 C.F.R. 164.304 that BA becomes aware of;
- (5) Ensure that a business associate agreement is in place with any of its agents or subcontractors with which BA:
 - (a) does business, and
 - (b) to whom it provides PHI received from, or created or received by BA on behalf of, Covered Entity; and ensures such agents or subcontractors are aware of and agree to the same restrictions and conditions that apply through this Agreement to BA with respect to such information, and further agree to implement reasonable and appropriate administrative, physical and technical safeguards that render such PHI unusable, unreadable and indecipherable to individuals unauthorized to acquire or otherwise have access to such PHI;
- (6) Provide access, at the request of Covered Entity, and in a reasonable time and manner as agreed by the Parties, to PHI in a Designated Record Set to Covered Entity or, as directed by Covered Entity, to an Individual in order to meet the requirements 45 C.F.R. §164.524;
- (7) Make any amendment(s) to PHI in a Designated Record Set that the Covered Entity directs or agrees to pursuant to 45 C.F.R. 164.526 at the request of the Covered Entity or an Individual, and in a reasonable time and manner agreed to by the Parties;
- (8) Make available to the Covered Entity or to the Secretary all internal practices, books and records, including policies and procedures and PHI, relating to the use and disclosure of PHI received from, or created or received by the BA on behalf of the Covered Entity, for purposes of the Secretary in determining Covered Entity's compliance with the Privacy Rule;
- (9) Document disclosures of PHI, and information related to such disclosures, as would be required for Covered Entity to respond to a request from an Individual for an accounting of disclosures of PHI in accordance with 45 C.F.R. 164.528;
- (10) Provide Covered Entity or an Individual, in a reasonable time and manner as agreed to by the Parties, information collected in accordance with Section B(9) of this Agreement, to permit Covered Entity to respond to a request by an Individual for an accounting of disclosures of PHI in accordance with 45 C.F.R. 164.528;
- (11) Immediately, and in no event later than three days from discovery, notify Covered Entity of any breach of PHI, including ePHI, and will coordinate with Covered Entity to identify, record, investigate, and report to an affected individual and U.S. Department of Health and Human Services, as required, any covered PHI breach. Breach notification to Covered Entity must include: names of individuals with contact information for those who were or may have been impacted by the HIPAA Breach; a brief description of the circumstances of the HIPAA Breach, including the date of the breach and date of discovery; a description of the types of unsecured PHI involved in the breach; a brief description of what the BA has done or is doing to investigate the breach and mitigate harm. BA will appoint a breach liaison and provide contact information to provide information and answer questions Covered Entity may have concerning the breach;
- (12) Comply with all Security Rules requirements;
- (13) Comply with the Privacy Rule for any obligation Covered Entity delegates to BA;
- (14) Under no circumstances sell PHI in such a way as to violate Texas Health and Safety Code, Chapter 181.153, effective September 1, 2012, nor shall BA use PHI for marketing purposes in such a manner as to violate Texas Health and Safety Code Section 181.152, or attempt to re-identify any information

in violation of Texas Health and Safety Code Section 181.151, regardless of whether such action is on behalf of or permitted by the Covered Entity.

C. Permitted Uses and Disclosures by BA

- (1) Except as otherwise limited in this Agreement, BA may use or disclose PHI to perform functions, activities, or services for, or on behalf of, Covered Entity as specified in the Service Contract, provided that such use or disclosure would not violate the Privacy Rule if done by Covered Entity.
- (2) Except as otherwise limited in this Agreement, BA may disclose PHI for the proper management and administration of the BA, provided that disclosures are Required By Law, or BA obtains reasonable assurances from the person to whom the information is disclosed that it will remain confidential and used or further disclosed only as Required By Law or for the purpose for which it was disclosed to the person, and the person notifies the BA of any instances of which it is aware in which the confidentiality of the information has been breached.
- (3) Except as otherwise limited in this Agreement, BA may use PHI to provide Data Aggregation Services to Covered Entity as permitted by 45 C.F.R. 164.504(e)(2)(i)(B).
- (4) BA may use PHI to report violations of law to appropriate Federal and State authorities, consistent with 45 C.F.R. 164.502(j)(1).

D. Obligations of Covered Entity. Covered Entity shall inform BA of its privacy practices and restrictions as follows. Covered Entity shall:

- (1) Notify BA of any limitations in its notice of privacy practices in accordance with 45 C.F.R. 164.520, to the extent that such limitation may affect BA's use or disclosure of PHI;
- (2) Notify BA of any changes in, or revocation of, permission by any Individual to use or disclose PHI, to the extent that such changes may affect BA's use or disclosure of PHI;
- (3) Notify BA of any restriction to the use or disclosure of PHI that Covered Entity has agreed to in accordance with 45 C.F.R. 164.522 to the extent that such changes may affect BA's use or disclosure of PHI.
- (4) Coordinate with BA regarding any PHI breach and make timely notification to affected individuals within 60 days of discovery.

E. Permissible Requests by Covered Entity.

Covered Entity shall not request BA to use or disclose PHI in any manner that would not be permissible under the Privacy Rule if done by Covered Entity, except that the BA may use or disclose PHI for data aggregation or management and administrative activities of the BA.

F. Term and Termination.

- (1) This Agreement becomes effective on the date it is signed by the last Party. This Agreement shall terminate when all PHI encompassed by this Agreement is destroyed or returned to Covered Entity or, if it is infeasible to return or destroy the PHI, protections are extended to such information in accordance with the termination provisions in this Section.

- (2) Termination for Cause. Upon Covered Entity's knowledge of a material breach by BA, Covered Entity shall either (a) provide an opportunity for BA to cure the breach in accordance with the terms of the Service Contract or, if the BA does not cure the breach or end the violation within the time for cure specified in the Service Contract, end the violation and terminate this Agreement and the Service Contract; or (b) immediately terminate this Agreement and the Service Contract if BA has breached a material term of this Agreement and cure is not possible. If neither termination nor cure is feasible, Covered Entity shall report the violation to the Secretary.
- (3) Effect of Termination.
- (a) Except as provided below in paragraph (b) of this Section F(3), upon termination of this Agreement for any reason, BA shall return or destroy all PHI received from the Covered Entity, or created or received by BA on behalf of Covered Entity. This provision shall apply to PHI that is in the possession of BA or its subcontractors or agents. BA shall not retain any copies of PHI.
- (b) In the event that BA determines that returning or destroying PHI is infeasible, BA shall provide to Covered Entity written notification of the condition that makes the return or destruction of PHI infeasible. Upon BA's conveyance of such written notification, BA shall extend the protections of this Agreement to such PHI and limit further uses and disclosures of such PHI to those purposes that make its return or destruction infeasible, for so long as BA maintains such PHI.
- (4) Notwithstanding any other provision under this Agreement, the Parties agree that the Service Contract may be terminated by either Party without penalty should the other Party violate a material obligation under this Agreement.
- G. Amendment to Comply with Law. The Parties agree to take written action as is necessary to amend this Agreement to comply with any Privacy Rules and HIPAA legal requirements for Covered Entity without the need for additional council action.
- H. Survival. The respective rights and obligations of the BA under Sections B, C (2) and (4), and F(3) shall survive the termination of this Agreement.
- I. Interpretation. Any ambiguity in this Agreement shall be interpreted to permit Covered Entity to comply with the Privacy Rule.
- J. Regulatory References. A reference in this Agreement to a section in the Privacy Rule means the section as in effect or amended.
- K. No Third Party Beneficiaries. Nothing express or implied in this Agreement is intended to confer, nor shall anything herein confer upon any person other than Covered Entity, BA, and their respective successors or assigns, any rights, remedies, obligations, or liabilities whatsoever.
- L. Indemnification. BA and CITY acknowledge they are subject to, and comply with, the applicable provisions of the Texas Tort Claims Act, as set out in the Civil Practice and Remedies Code, Section 101.001, *et. seq.* and the remedies authorized therein regarding claims or causes of action that may be asserted by third parties for accident, injury or death.
- M. Reimbursement. BA will reimburse Covered Entity for reasonable costs incurred responding to a PHI breach by BA or any of BA's subcontractors.
- N. Waiver. No provision of this Agreement or any breach thereof shall be deemed waived unless such waiver is in writing and signed by the party claimed to have waived such provision or breach. No waiver of a breach shall constitute a waiver of or excuse any different or subsequent breach.

- O. Assignment. Neither party may assign (whether by operation of law or otherwise) any of its rights or delegate or subcontract any of its obligations under this Agreement without the prior written consent of the other party. Notwithstanding the foregoing, Covered Entity shall have the right to assign its rights and obligations hereunder to any entity that is an affiliate or successor of Covered Entity, without the prior approval of BA.
- P. Entire Agreement. This Agreement constitutes the complete agreement between Business Associate and Covered Entity relating to the matters specified in this Agreement, and supersedes all prior representations or agreements, whether oral or written, with respect to such matters. In the event of any conflict between the terms of this Agreement and the terms of the Service Contract or any such later agreement(s), the terms of this Agreement shall control unless the terms of such Service Contract comply with the Privacy Standards and the Security Standards. No oral modification or waiver of any of the provisions of this Agreement shall be binding on either party. This Agreement is for the benefit of, and shall be binding upon the parties, their affiliates and respective successors and assigns. No third party shall be considered a third-party beneficiary under this Agreement, nor shall any third party have any rights as a result of this Agreement.
- Q. Governing Law. This Agreement shall be governed by and interpreted in accordance with the laws of the State of Texas. Venue of any court action brought directly or indirectly by reason of this Agreement shall be in Bexar County, Texas.

EXECUTED to be effective _____, 2022, by the City of San Antonio, signing by and through its program manager.

COVERED ENTITY
By City of San Antonio

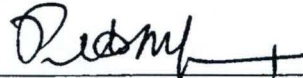
Claude A. Jacob

Health Director
San Antonio Metropolitan Health District

APPROVED AS TO FORM:

City Attorney

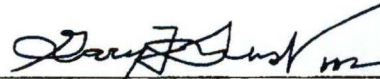
BUSINESS ASSOCIATE:



Peter M Loomer, BSc, DDS, PhD, MRCD(R),
FACD
Dean
UTHSCSA School of Dentistry



Edward Ellis, DDS, OMS
Chair, Oral & Maxillofacial Surgery



Gary F. Quest, DDS
Associate Dean for Patient Care



Ginny Gomez-Leon (Aug 16, 2022 21:24 CDT) Aug 16, 2022

Ginny Gomez-Leon MBA, CPA
Vice President & Chief Financial Officer